



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Application

Inventor(s): Charles E. Taylor et al.

Serial No.: 10/074,379

Confirm. No.: 5582

Filed: February 12, 2002

Title: Electro-Kinetic Air Transporter and Conditioner
Device with Enhanced Maintenance Features and
Enhanced Anti-Microorganism Capability

PATENT APPLICATION

Art Unit: 1741

Examiner: Unknown

Customer No. 23910

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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on 3/24/03.

Jeffrey R. Kurin (Attorney Signature)
Jeffrey R. Kurin, Reg. No. 41,132
Signature Date: 3/24/03

**SECOND SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56**

Commissioner for Patents
Washington, DC 20231

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

Enclosed with this statement are the following:

- ☒ Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- ☒ A copy of each cited document as required by 37 C.F.R. §1.98.
- ☐ ***PTA Statement under 37 C.F.R. §1.704(d).*** Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the information disclosure statement.

This statement should be considered because:

☒ **37 C.F.R. §1.97(b).** This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:

- (1) It is being filed within three months of the filing date of an application other than a continued prosecution application under § 1.53(d);
-- OR --
- (2) It is being filed within 3 months of entry of a national stage;
-- OR --
- (3) It is being filed before the mailing date of the first Office Action on the merits,
-- OR --
- (4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114.

☐ **37 C.F.R. §1.97(c).** Although it may not qualify under subsection (b), this statement qualifies under 37 C.F.R. §1.97, subsection (c) because:

- (1) It is being filed before the mailing date of a FINAL office action, a Notice of Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first.

-- AND (check at least one of the following) --

- ☐ (1) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e).
-- OR --
- ☐ (2) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

☐ **37 C.F.R. §1.97(d).** Although it may not qualify under subsection (b) or (c), this statement qualifies under 37 C.F.R. §1.97, subsection (d) because:

- (1) It is being filed on or before payment of the issue fee;
-- AND --
- (2) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e);
-- AND --
- (3) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

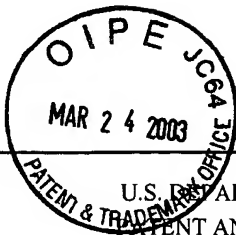
☒ **Fee Authorization.** The Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment associated with this communication to Deposit Account No. 06-1325.

Respectfully submitted,

Date: 3/20/03

By: Jeffrey R. Kurin
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Form PTO-1449 (Substitute) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Attorney Docket Number TC 1700 SHPR-01028US6	Serial Number 10/074,379
	Applicant Charles E. Taylor et al.			
	Filing Date February 12, 2002		Group Art Unit 1741	

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Examiner Initial	Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
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Examiner Initial	Patent Application Publication Number	Publication Date	Applicant
	2002/0069760 A1	6/13/2002	Pruette et al.
	2002//0144601 A1	10/10/2002	Palestro et al.
	2002/0152890 A1	10/24/2002	Leiser



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Examiner Initial	Patent Application Publication Number	Publication Date	Applicant
	2002/0170435 A1	11/21/2002	Joannou
	2003/0005824 A1	1/9/2003	Katou et al.

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PENDING U.S. PATENT APPLICATIONS

Examiner Initial	Application Number	Filing Date	First Named Inventor	Petition to Expunge? Yes No

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Publication Date	Country	Class	Subclass	Translation Yes No
	WO00/10713 A1	3/2/2000	International			
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	WO01/85348 A2	11/15/2001	International			
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OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)

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Examiner	Date Considered
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*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*1 = Copy not submitted because it was submitted in prior application SN __/__, filed ____, 20__, relied on under 35 USC §120.

*2 = Copy not submitted because it was submitted in prior application SN __/__, filed ____, 20__, relied on under 35 USC §120.